

 SCCE™  HCCA™

2019 ANNUAL REPORT

Society of Corporate Compliance and Ethics
& Health Care Compliance Association

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SCCE & HCCA supports the worldwide compliance and ethics profession by offering a sense of community through membership and networking opportunities. We also offer educational opportunities, certification, publications, surveys and many other additional resources.

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(Board for November 2019 to October 2020)

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“
One of the most exciting
accomplishments of 2019
has to be the official
launch of COSMOS...”

Letter from the President

Art Weiss

SCCE & HCCA Board President

As this report is being finalized in April 2020, we are in the midst of the COVID-19 pandemic. It is difficult to focus on the successes of 2019 while we navigate the challenges of 2020.

I write this letter from home—where I have been working since returning from my last venture out into the world at the SCCE Basic Compliance & Ethics Academy in San Diego in early March—and I am gently serenaded by one or more of my four barking dogs, the dishwasher, and the buzzer on the dryer. It is certainly a new world.

But there is much to be proud of as SCCE & HCCA continue to lead the way for the global compliance and ethics community.

With more than 20,000 members in over 100 countries, no organization represents the global compliance and ethics profession more than SCCE & HCCA. We held 111 conferences and other in-person events in 2019, attended by over 16,000 compliance and ethics professionals.

The Compliance Certification Board (CCB)[®] continues to be the global gold standard for certifications in the profession. More than 12,500 professionals have now earned CCB certifications, demonstrating their commitment to and strong understanding of the compliance profession.

One of the most exciting accomplishments of 2019 has to be the official launch of COSMOS, our online publishing platform. COSMOS brings our compliance content to life in a digital format and expands the library of information available to users. Just like the universe, COSMOS will continue to expand and grow as more content is added every month, resulting in the COSMOS tagline: Navigate the Compliance Universe.

2020 promises to be a year unlike any other. It is unclear how the compliance and ethics profession will ultimately be affected by COVID-19. But I am proud to say that SCCE & HCCA has done a remarkable job of conservatively building a sufficient financial reserve to survive the current situation so that we can continue to serve you and the entire compliance and ethics profession for many years.

Our staff has gone above and beyond to adjust and adapt in finding new and innovative ways to deliver educational opportunities to our members, including virtual online conferences and Regional meetings. SCCE & HCCA remain strong, and together, we will make our profession stronger.

Art Weiss
SCCE & HCCA Board President



Membership

Through SCCE & HCCA, practitioners can share insights, ideas, and struggles with a network of industry colleagues going through similar compliance challenges.

Although compliance and ethics is a rapidly growing profession, many practitioners may be the only individual performing these functions at their organizations. Membership to SCCE or HCCA gives practitioners the opportunity to be part of the global compliance and ethics community, supporting the compliance and ethics profession. Members receive a monthly subscription to *Compliance and Ethic Professional (CEP)* or *Compliance Today* magazine with insights and tips from industry peers. The subscriptions are delivered both in print and digitally

through COSMOS, SCCE & HCCA's digital platform. In addition, members receive exclusive discounts on educational conferences, publications and Compliance Certification Board (CCB)[®] certification exams.

Membership remains strong across both associations with more than 20,000 combined members in over 100 countries.

More information about the benefits of membership in SCCE or HCCA can be found by visiting the association's websites.



Membership to SCCE or HCCA gives practitioners the opportunity to be part of the global compliance and ethics community. . .

Global Membership

100+ Countries

Afghanistan	France	Panama
Algeria	Georgia	Paraguay
Argentina	Germany	Peru
Armenia	Ghana	Philippines
Australia	Greece	Poland
Austria	Greenland	Portugal
Azerbaijan	Guam	Qatar
Bangladesh	Guatemala	Romania
Barbados	Honduras	Russia
Belgium	Hungary	Saint Maarten
Bermuda	India	Saudi Arabia
Bosnia And Herzegovina	Indonesia	Serbia
Botswana	Ireland	Singapore
Bulgaria	Israel	Slovakia
Cambodia	Italy	Slovenia
Cameroon	Jamaica	South Africa
Canada	Japan	South Korea
Cayman Islands	Jordan	Spain
Chad	Kenya	Suriname
Chile	Kingdom of Thailand	Sweden
China	Kuwait	Switzerland
Colombia	Lebanon	Taiwan
Costa Rica	Luxembourg	The Bahamas
Cote D'Ivoire	Macau	Trinidad and Tobago
Croatia	Malawi	Turkey
Cyprus	Malaysia	Uganda
Czech Republic	Mexico	Ukraine
Denmark	Monaco	United Arab Emirates
Dominican Republic	Mozambique	United Kingdom
Ecuador	Myanmar (Burma)	United Republic of Tanzania
Egypt	Netherlands	United States
El Salvador	New Zealand	Vietnam
Estonia	Norway	Zambia
Finland	Pakistan	



2019

20,300
Members

2014

14,553
Members

Conferences

Compliance and ethics professionals can count on us for quality conference offerings. Our conferences cover everything from the fundamentals of compliance and ethics to the latest regulations, strategies, and best practices.

In 2019, we hosted 111 events in 12 countries and held 120 web conferences. This included our Basic

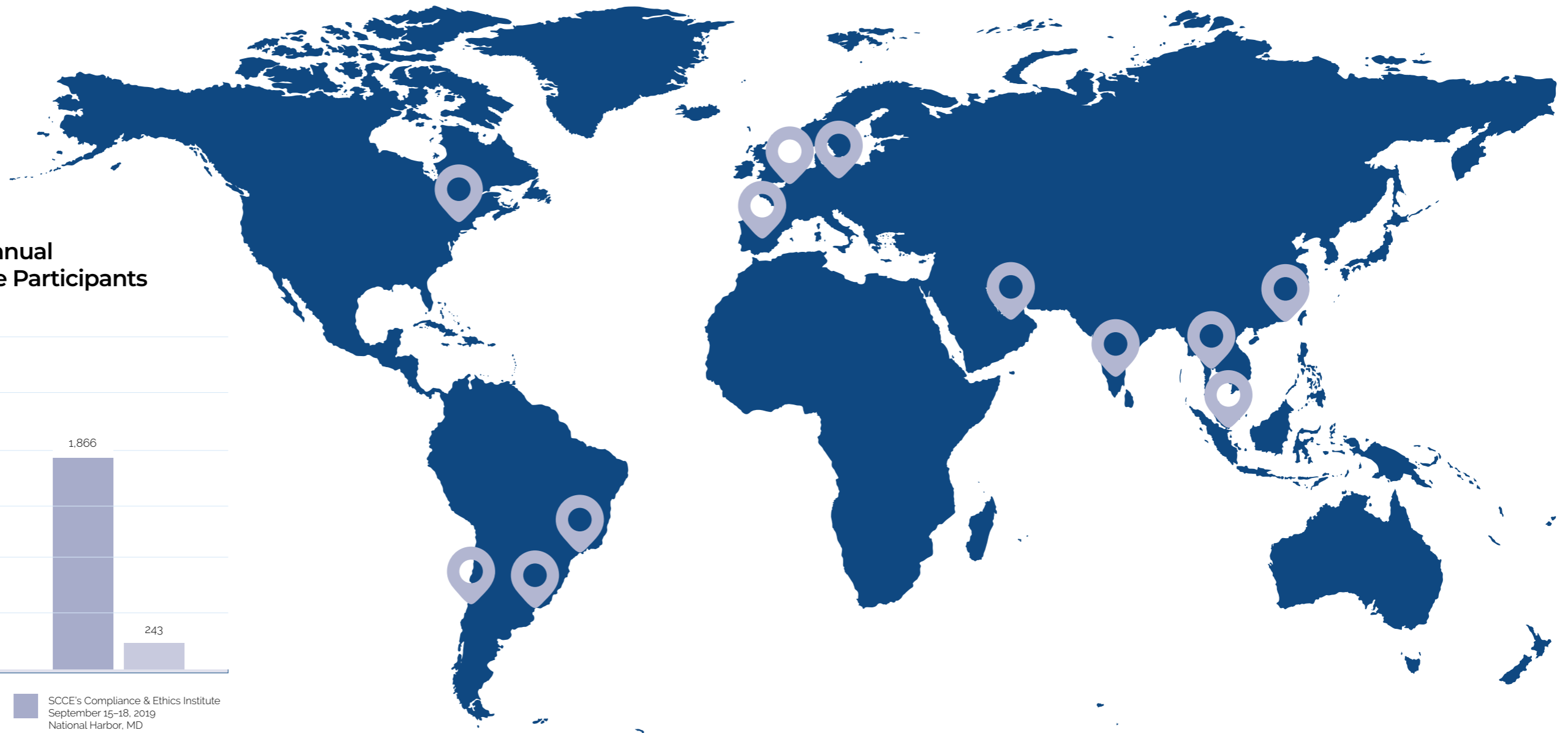
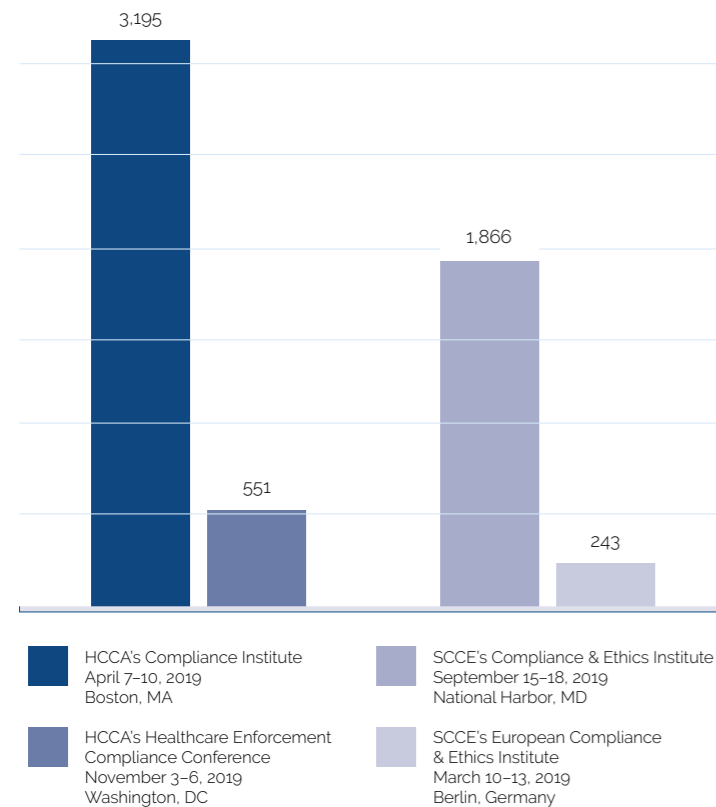
Compliance & Ethics Academies and Healthcare Compliance Academies which are designed to help build an effective program, in addition to one-day regional conferences, national conferences, and weekly web conferences, which help professionals enhance compliance at their organizations and stay up-to-date on changes.

Conference Participants

(excluding web conferences)

2019 16,700 111 events

Annual Conference Participants





**Compliance
& Ethics Blog**

527,310 views

210 posts



**Compliance
Perspectives Podcast**

164,901 downloads

91 episodes

Global Engagement

Helping compliance and ethics professionals worldwide to stay informed and connected is a big part of SCCE & HCCA's mission.

To achieve this, we offer access to online forums and learning opportunities that benefit the compliance and ethics industries. This includes SCCEnet and HCCAnet, our own social network of more than 13,000 professionals; our Facebook, Twitter and LinkedIn pages; and the Compliance & Ethics blog and Compliance Perspectives podcast. In addition, we expanded our global reach by holding events in Chile, Argentina, and Thailand for the first time in 2019.



... we offer access to online forums and learning opportunities that most benefit the compliance and ethics industries.

COMPLIANCE

Community Outreach

We are dedicated to giving back to our communities

In 2019, SCCE & HCCA hosted volunteer projects to benefit the communities that host our conferences.

CEI

SCCE's Compliance & Ethics Institute (CEI) hosted a volunteer project for Volunteers of America - Chesapeake.

ECEI

SCCE's European Compliance & Ethics Institute (ECEI) hosted a volunteer project for Vostel. de.

CI

HCCA's Compliance Institute (CI) hosted a volunteer project to benefit Cradles to Crayons.



SCCE & HCCA conference attendees are passionate about giving back to the communities that host our conferences.



Highlighted Achievements



12,584 Individuals

actively holding one or more certifications



15 CCB-Accredited

University Compliance and Ethics Programs



6% Increase

in certifications



90 Countries

with actively certified individuals

Certification

Certification matters

Since 1999, the Compliance Certification Board (CCB)[®] has worked to establish criteria to determine competence in the compliance and ethics practice across all industries, specialty areas, and sectors. Professionals who meet these standards can become CCB certified.

In 2019, more than 12,500 people actively held one or more of our seven certifications:

- Certified in Healthcare Compliance (CHC)[®]
- Certified in Healthcare Compliance-Fellow (CHC-F)[®]
- Certified in Healthcare Privacy Compliance (CHPC)[®]
- Certified in Healthcare Research Compliance (CHRC)[®]
- Certified Compliance & Ethics Professional (CCEP)[®]
- Certified Compliance & Ethics Professional-Fellow (CCEP-F)[®]
- Certified Compliance & Ethics Professional-International (CCEP-I)[®]

Enhance your career by becoming certified through our Compliance Certification Board (CCB)[®]. SCCE & HCCA's *2019 Health Care Chief Compliance Officers and Staff Salary Survey* found that the most common certifications held by healthcare CCOs are the CHC and CHPC certifications. Even better, the survey shows that professionals with certification can earn on average up to 44% more than those without. Certification can showcase your compliance knowledge and give you credibility with your organization and peers. It also encourages you to continue to grow in your profession and stay current on the latest industry regulations, trends, and practices.

CHC[™]
CERTIFIED IN HEALTHCARE COMPLIANCE
6,949
CCB certified

CHPC[™]
CERTIFIED IN HEALTHCARE PRIVACY COMPLIANCE
1,806
CCB certified

CHRC[™]
CERTIFIED IN HEALTHCARE RESEARCH COMPLIANCE
614
CCB certified

CCEP[™]
CERTIFIED COMPLIANCE & ETHICS PROFESSIONAL
3,799
CCB certified

CCEP-I[™]
CERTIFIED COMPLIANCE & ETHICS PROFESSIONAL-INTERNATIONAL
1,321
CCB certified



Publications and Resources

Highlighted Achievements



The capabilities of COSMOS, SCCE & HCCA's online publishing platform, continued to grow with improved navigation and powerful search and linking capabilities. Designed with the needs of busy professionals in mind, COSMOS provides simple, intuitive access to our books, newsletters, and member magazines. In addition to publishing new titles, we continued to increase the breadth and depth of content available on COSMOS, expanding archival access to previous editions of our member magazines and newsletters and adding 7 additional book titles to the COSMOS library.



Four new books were published under the SCCE & HCCA publishing arm: *The Accidental Compliance Professional* from SCCE & HCCA founder and former CEO Roy Snell, *Creating Great Compliance Training in a Digital World* from SCCE member Kirsten Liston, a new edition of HCCA's *Research Compliance Professional's Handbook*, and the 2020 edition of SCCE's *The Complete Compliance and Ethics Manual*.



We provided the latest in compliance and ethics insights and advice with the ongoing publication of our member magazines, *Compliance & Ethics Professional (CEP)* and *Compliance Today*, as well as through specialized newsletters such as *Report on Medicare Compliance*, *Report on Patient Privacy*, *Report on Research Compliance*, *Report on Supply Chain Compliance* and *ethikos*.



In 2019 SCCE & HCCA focused on providing an increasingly comprehensive and sophisticated collection of content and capabilities to serve the needs of compliance and ethics professionals.



Financial Statements

Consolidated Statement of Financial Position December 31, 2019

Assets	
Current Assets	
Cash and Cash Equivalents	\$4,469,456
Accounts Receivable	270,180
Inventories	33,320
Prepaid and Deferred Expenses	1,232,826
Total Current Assets	6,005,782
Property, Equipment and Trademarks	2,367,426
Investments	19,171,615
Goodwill	1,732,786
Other	272,958
Total Assets	\$29,550,567
Liabilities and Net Assets	
Current Liabilities	
Accounts Payable and Accrued Expenses	\$1,616,246
Deferred Revenue	6,929,481
Total Current Liabilities	8,545,727
Other Liabilities	272,958
Total Liabilities	8,818,685
Unrestricted Net Assets	
Unrestricted Net Assets - Undesignated	\$20,562,222
Noncontrolling Interest in Subsidiary	169,660
Total Unrestricted Net Assets	20,731,882
Total Liabilities and Net Assets	\$29,550,567

Financial Statements

Consolidated Statement of Activities Year Ended December 31, 2019

Revenues	
Program Revenues	\$27,510,311
Investment Income	2,107,224
Other Income	15,137
Total Revenues	29,632,672
Expenses	
Program Expenses	19,761,053
General and Administrative	7,729,197
Total Expenses	27,490,250
Operating Change in Unrestricted Net Assets	2,142,422
Nonoperating - Capital Transactions	
Contributed Capital - Noncontrolling Member	299
Distributions of Capital - Noncontrolling Member	(42,000)
Total Change in Net Assets	2,100,721
Total Net Assets - Beginning of Year	18,631,161
Total Net Assets - End of Year	\$20,731,882

An aerial photograph of a city skyline, featuring several prominent skyscrapers and a dense cluster of buildings. The entire image is overlaid with a semi-transparent blue filter. The text is positioned in the lower-left corner of the image.

**Society of Corporate Compliance and Ethics
& Health Care Compliance Association**

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